

Quality Based Reimbursement Redesign Subgroup to the Performance Measurement Workgroup

4

#### Agenda

- 1. Centers for Disease Control National Health Safety Network Healthcare Associated Infection Measures (CDC NHSN HAI)
- 2. Emergency Department Throughput Measurement
- 3. Follow up on HCAHPS (from March meeting): Analytics Plan



# Meeting Topic 1: NHSN Healthcare-Associated Infection (HAI) Measures

# Overview of Centers For Disease Control and Prevention National Healthcare Safety Network (NHSN)

- Healthcare-Associated Infections (HAIs):
  - Among the leading causes of death in the United States.
  - Put the patient at risk, increase the days of hospitalization required for patients, and add to healthcare costs.
  - Are largely preventable with widely publicized interventions, such as better hygiene and advanced scientifically tested techniques for surgical patients.
- CDC NHSN is the nation's most widely used healthcare-associated infection (<u>HAI</u>) tracking system; now serves over approximately 25,000 medical facilities tracking HAIs
- NHSN provides medical facilities, states, regions, and the nation with data collection and reporting capabilities needed to:
  - identify infection prevention problems by facility, state, or specific quality improvement project
  - benchmark progress of infection prevention efforts
  - comply with state and federal public reporting mandates, and ultimately,
  - o drive national progress toward elimination of HAIs.
- NHSN gives healthcare facilities the ability to see their data in real-time and share that information with clinicians and facility leadership, as well as with other facilities (e.g., a multihospital system) and partners such as health departments or quality improvement organizations.
- Also allows healthcare facilities to track blood safety errors and important healthcare process measures such as healthcare personnel influenza vaccine status and infection control adherence rates.
- CDC provides the standard national measures for HAIs as well as analytic tools that enable each facility to assess its
  progress and identify where additional efforts are needed and serves as the conduit for facilities to comply with CMS
  infection reporting requirements.

SOURCE: https://www.cdc.gov/nhsn/about-nhsn/index.html, last accessed 4/7/2021.

#### CDC NHSN HAI Standardized Infection Ratio Calculation

- SIR = # Observed Infections/# Predicted Infections
- Confidence intervals tell us significance
  - SIR > 1.0, then more infections were observed than predicted
    - Example 1: 10/5 = 2.0 (1.52, 2.34)
    - Interpretation: There were twice as many infections than predicted. This facility performed significantly worse than the national experience (1.0).
  - SIR < 1.0, then fewer infections were observed than predicted</li>
    - Example 2: 5/10 = 0.50 (0.35, 0.86)
    - Interpretation: There were 50% fewer infections than predicted. This facility performed significantly better than the national experience (1.0).
  - SIR = 1.0, then the same number of infections were observed as predicted
    - Example 3: 10/10 = 1.0 (0.87, 1.12)
    - Interpretation: The number of infections is not statistically different than the national experience (1.0).

Note: SIRs are not calculated if the number of predicted infections is less than 1.0



## CDC NHSN HAI SIR Calculation Adjustment Variables

Device Associated Infections CLABSI & CAUTI	Procedure Based Infections SSI COLO & SSI HYST	MDRO Infections MRSA and CDI		
<ul> <li>CDC Location (e.g., ICU, surgical ward)</li> <li>Facility bed size</li> <li>Medical school affiliation</li> <li>Facility type (e.g., acute care, children's, VA, etc.)</li> <li>Birthweight (for CLABSI NICU only)</li> </ul>	<ul> <li>Diabetes</li> <li>ASA Score</li> <li>Gender (COLO only)</li> <li>Age</li> <li>BMI</li> <li>Closure technique (COLO only)</li> <li>Oncology hospital</li> </ul>	<ul> <li>Inpatient community-onset prevalence rate</li> <li>Medical school affiliation</li> <li>Facility type</li> <li>Number of ICU beds</li> <li>Outpatient community-onset prevalence rate ED/24-hour (MRSA)</li> </ul>		
NOTE: CLABSI and CAUTI are Unit based and include:  •ICUs (adult and pediatric)  •Non-ICU wards (adult and pediatric medical, surgical, and medical/surgical wards)  •NICUs (CLABSI only)  More detailed information is available in the NHSN SIR: https://doi.org/10.1001/journal.2007/journal.200	NOTE: These variables are included in the CMS complex 30 day model. The Complex A/R model includes other variables such as number of beds, med school affiliation wound class, trauma, anesthesia, scope, and procedure duration.	<ul> <li>Observation Unit (MRSA only)</li> <li>Average length of stay (MRSA only)</li> <li>Reporting from ED or 24-hour observation unit (CDI only)</li> <li>CDI test type (CDI only)</li> <li>NOTE: MDRO infections are facility-wide maryland health services</li> </ul>		

#### CMS Use of CDC NHSN HAI Measures in VBP

- Hospitals must enroll and complete NHSN training to comply with CMS reporting, including:
  - Hospital Inpatient Quality Reporting (IQR) Program
  - Value Based Purchasing Program (VBP)
  - Hospital Acquired Condition Reduction Reduction Program (HAC RP)

#### CMS Use of HAI Measures in the VBP Program Safety Domain, FFY 2023

	Patient Safety Composite Baseline Period Oct. 1, 2015–June 30, 2017 Measure ID Measure Name		Performance Period July 1, 2019–June 30, 2021* Achievement	Benchmark	
	medadie ib	measure name	Threshold	Benefillark	
	★↓ PSI 90	Patient Safety and Adverse Events Composite	0.972658	0.760882	
	Healthcare-Ass	ociated Infections			
	Baseline Period		Performance Period		
#.	Jan. 1, 2019–Dec. 3	1 2019	Jan. 1, 2021–Dec. 31, 2021		
<u> </u>	Jan. 1, 2019–Dec. 31, 2019				
			Achievement		
Sa	Measure ID	Measure Name	Achievement Threshold	Benchmark	40
Safety	Measure ID ↓ CAUTI	Catheter-Associated	Achievement Threshold 0.676	Benchmark 0.000	25
Sa	Table		Threshold		25
Sa	. CAUTI	Catheter-Associated Urinary Tract Infection Clostridium difficile Infection Central Line-Associated	Threshold 0.676	0.000 0.010	25
Sa	<ul><li>↓ CAUTI</li><li>↓ CDI</li><li>↓ CLABSI</li></ul>	Catheter-Associated Urinary Tract Infection Clostridium difficile Infection Central Line-Associated Bloodstream Infection	Threshold 0.676 0.544	0.000	25
Sa	↓ CAUTI ↓ CDI	Catheter-Associated Urinary Tract Infection Clostridium difficile Infection Central Line-Associated Bloodstream Infection Methicillin-Resistant	Threshold 0.676 0.544	0.000 0.010 0.000	25
Sa	<ul><li>↓ CAUTI</li><li>↓ CDI</li><li>↓ CLABSI</li><li>↓ MRSA</li></ul>	Catheter-Associated Urinary Tract Infection Clostridium difficile Infection Central Line-Associated Bloodstream Infection Methicillin-Resistant Staphylococcus aureus	Threshold 0.676 0.544 0.596 0.727	0.000 0.010 0.000 0.000	25
Sa	<ul><li>↓ CAUTI</li><li>↓ CDI</li><li>↓ CLABSI</li></ul>	Catheter-Associated Urinary Tract Infection Clostridium difficile Infection Central Line-Associated Bloodstream Infection Methicillin-Resistant	Threshold 0.676 0.544 0.596	0.000 0.010 0.000	25

<sup>\*</sup>These performance periods are impacted by the ECE granted by CMS on March 22, 2020, further specified by CMS on March 27, 2020 and amended in the August 25, 2020 COVID-19 Interim Final Rule. Claims from Quarter (Q)1 2020 and Q2 2020 will not be used in the claims-based measure calculations.



ealth services

#### CMS Use of CDC NHSN HAI Measures in HAC Reduction

## HAC Reduction Program (HAC RP)

- Uses the same measures as the Safety domain in VBP.
- Hospitals with Total HAC Scores in the worst-performing quartile of all subsection (d) hospitals receive a 1-percent payment reduction on their overall Medicare fee-for-service payments.

How does CMS determine payment reductions?



Step 1: Calculate measure scores

Measure scores are calculated as the Winsorized z-score of measure results for a given measure.

Using measure results across all subsection (d) hospitals, including Maryland hospitals:

- Winsorize each hospital's measure results.
- Calculate each measure score as the z-score of Winsorized results.

(Lower measure scores indicate **better** performance and higher measure scores indicate **worse** performance.)



Step 2: Calculate Total HAC Score

Total HAC Scores are calculated as the equally weighted average of the hospital's measure scores.

- Calculate hospitals' Total HAC Scores as the equally weighted average of their measure scores.
- If a hospital does not receive a measure score for a measure, it will be excluded from the Total HAC Score calculation.

(Lower measure scores indicate **better** performance and higher measure scores indicate **worse** performance.)



**Step 3: Determine** payment reduction

Hospitals with a Total HAC score in the worst-performing quartile are subject to a 1-percent payment reduction.

- Determine the 75th percentile of Total HAC Scores across all subsection (d) hospitals.\*
- Hospitals with a Total HAC Score greater than the 75th percentile are in the worst-performing quartile.



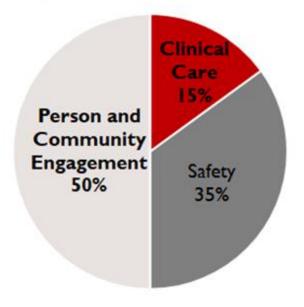
In the IPPS Final Rule for FFY 2021, CMS published the following performance time periods for the HAC RP for FFY 2023.

- For PSI 90, July 2019-June 2021
- For the CDC NHSN HAI Measures, January 2020-December 2021.



#### QBR Use of CDC NHSN HAI Measures

#### QBR Domain Weights



#### **Person & Community Engagement (PCE)**

- HCAHPS measures
- Follow up after acute exacerbation

#### **Safety**

- CLABSI
- CAUTI
- MRSA
- CDIFF
- SSI Colon\*
- SSI Hyst\*
- PSI-90

#### **Clinical Care**

- Inpatient Mortality
- Hip/Knee Replacement Complication

\*The SSI colon and hysterectomy categories are combined resulting in five Safety measures.

## Hospital Compare Snapshots MPR and HSCRC Analyses

## Data Sources and Analyses for NHSN SIRs

## Multiple data sources and approaches for comparing Maryland vs. National performance

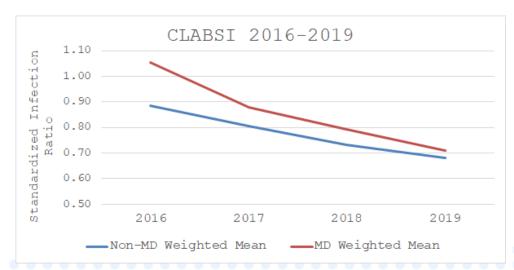
Data Sources	Hospitals Included	Descriptive Statistics
CMMI VBP Analysis	MD + VBP Hospitals	Unweighted Mean
CMS Hospital Compare	All Hospitals - approximation can be used to limit to VBP-only hospitals	Unweighted mean, weighted mean, median
CDC Progress Report	All Hospitals with >1 predicted	Weighted means and hospital median

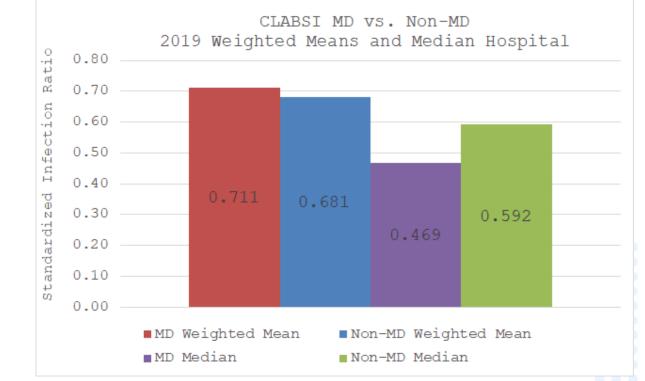
Presented last month

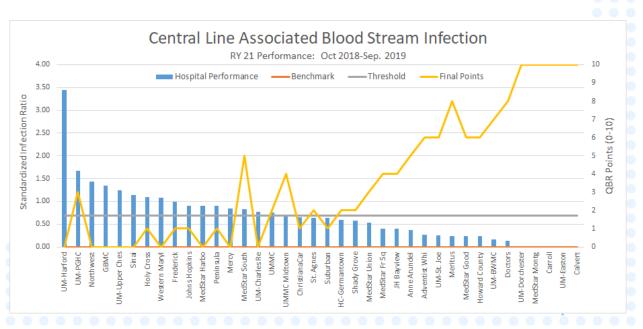
Included in this presentation

## **CLABSI Snapshot**

- Maryland performs worse than nation\* (weighted mean)
- Median Maryland hospital performs better than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank 39 (weighted mean); 26 (unweighted);
- 2019: 209 CLABSI events in Maryland (hosp=37)



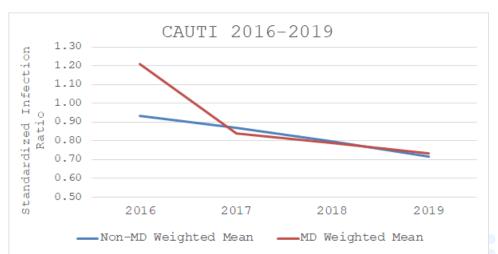


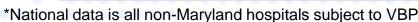


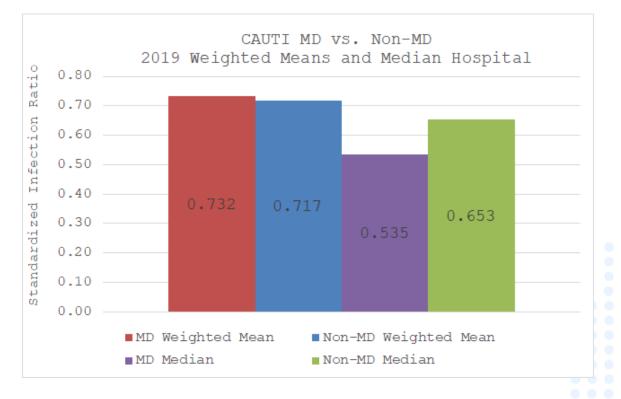
<sup>\*</sup>National data is all non-Maryland hospitals subject to VBP

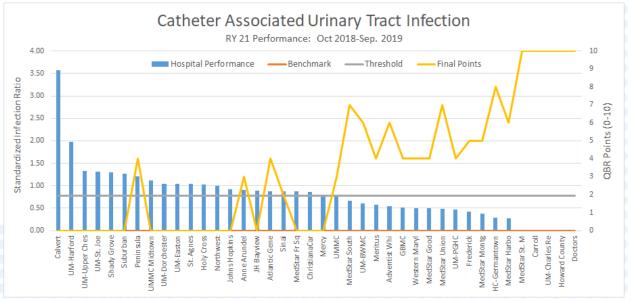
## **CAUTI Snapshot**

- Maryland performs tad worse than nation\* (weighted mean)
- Median Maryland hospital performs better than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank #26 (weighted mean); 18 (unweighted)
- 2019: 225 CAUTI events in Maryland (N=38)





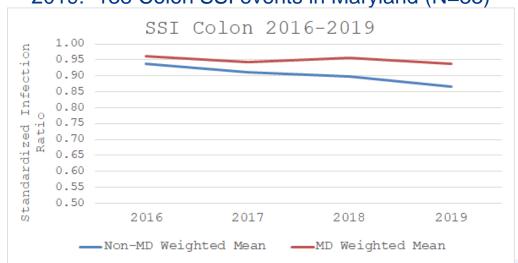


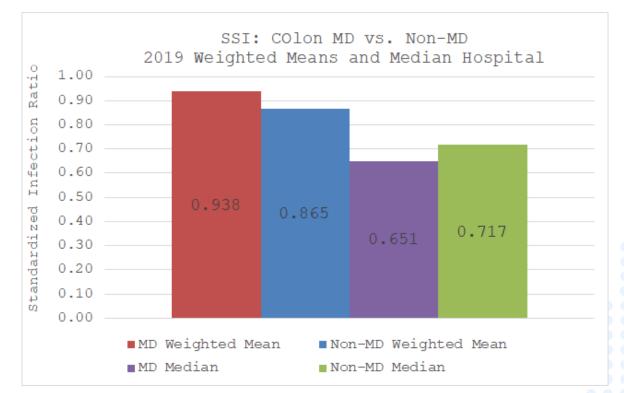


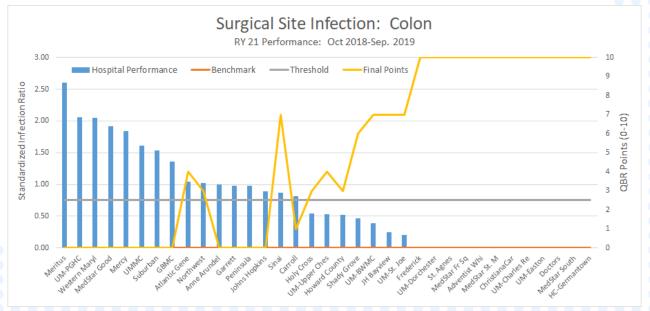
## SSI Colon Snapshot

- Maryland performs worse than nation (weighted mean)
- Median Maryland hospital performs better than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank #31 (weighted mean); 19 (unweighted)

2019: 138 Colon SSI events in Maryland (N=33)



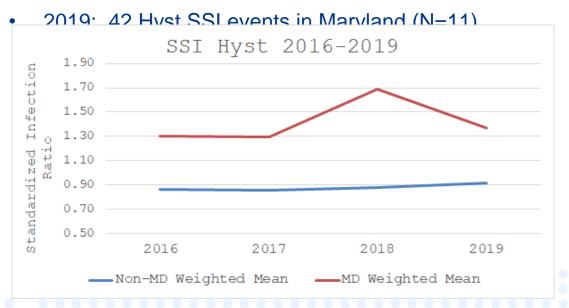


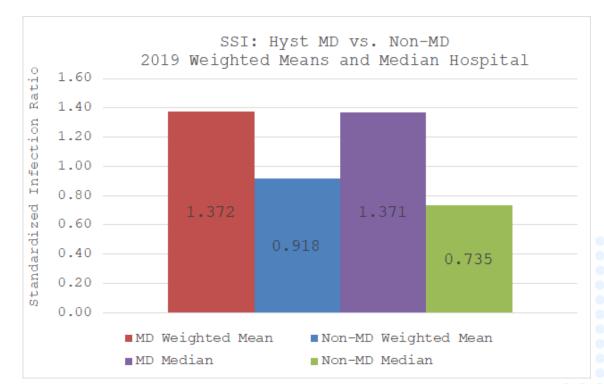


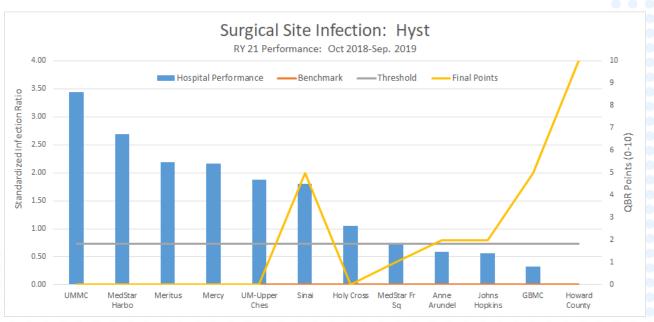
\*National data is all non-Maryland hospitals subject to VBP

## SSI Hyst Snapshot

- Maryland performs worse than nation\* (weighted mean)
- Median Maryland hospital performs worse than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank #47 (weighted mean); 49 (unweighted)



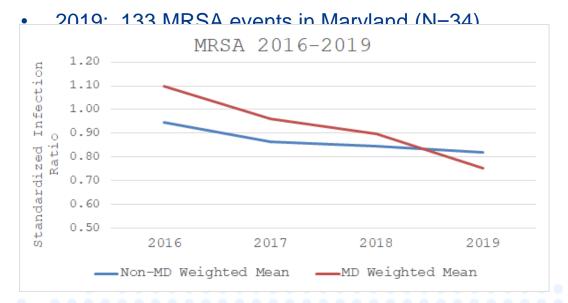


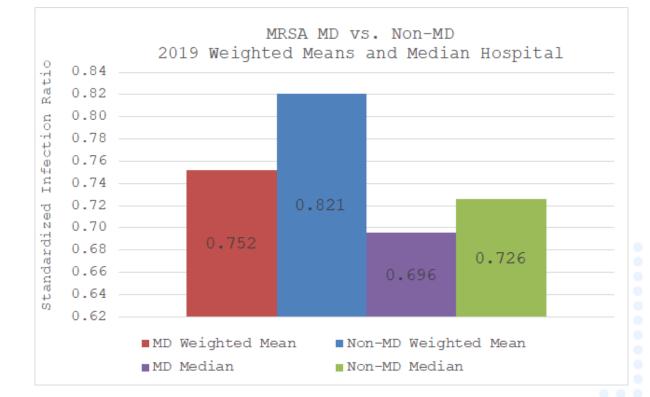


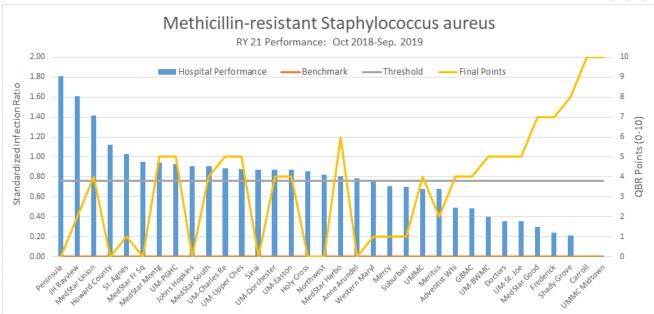
<sup>\*</sup>National data is all non-Maryland hospitals subject to VBP

## MRSA Snapshot

- Maryland performs better than nation\* (weighted mean)
- Median Maryland hospital performs better than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank #32 (weighted mean); 24 (unweighted)





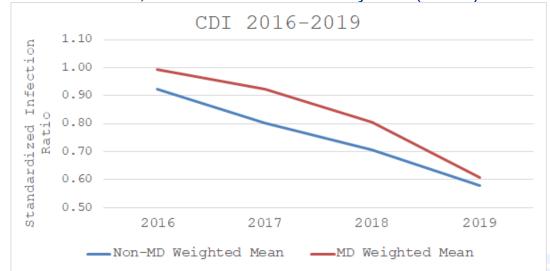


<sup>\*</sup>National data is all non-Maryland hospitals subject to VBP

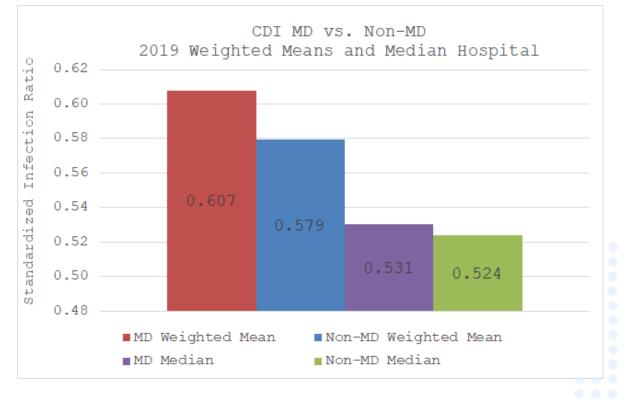
## C. Dif Snapshot

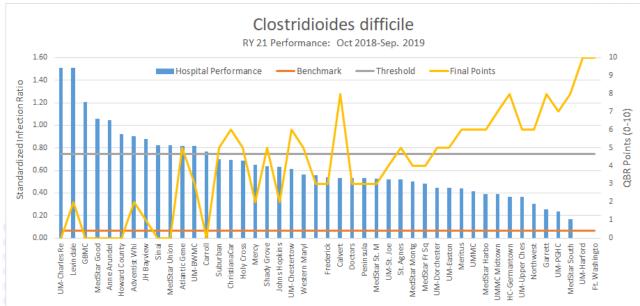
- Maryland performs worse than nation\* (weighted mean)
- Median Maryland hospital performs worse than median non-MD hospital
- By hospital graph shows distribution in performance; some hospitals are receiving improvement points despite poor performance
- 2019: State rank #26 (weighted mean); 19 (unweighted)

2019: 1,065 CDI events in Maryland (N=43)



\*National data is all non-Maryland hospitals subject to VBP





#### Peer Group Comparison

- **Purpose:** To assess MD performance relative to similar national hospitals
- For each Maryland hospital, Mathematica used the K-nearest neighbor approach to assign a peer group of 15 national hospitals most similar to the MD hospital on the following key hospital characteristics:
  - Number of teaching residents,
  - Urban location,
  - Number of beds,
  - Case mix index,
  - Proportion of stays involving patients with Supplemental Security Income, and
  - Nonprofit status.

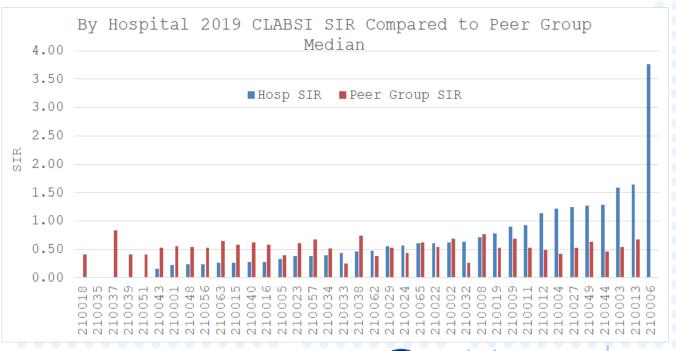
#### Peer Group Results

- Peer group analysis indicates similar findings as national analysis
  - In 2019, approximately half the hospitals performed better and half worse than their peer group
  - There have been strong improvements 2016-2019 compared to peers
  - By hospital CLABSI example shows variation in performance

Percent of Maryland hospitals with SIR above and below peer group median

Measure	MD SIR vs. Peer Group	2016	2017	2018	2019
CL ABOL	Above	47.2%	56.4%	56.4%	47.4%
CLABSI	Below	52.8%	43.6%	43.6%	52.6%
CALITI	Above	69.4%	59.0%	54.1%	39.5%
CAUTI	Below	30.6%	41.0%	45.9%	60.5%
SSI-COL	Above	56.3%	62.9%	46.9%	54.5%
	Below	43.8%	37.1%	53.1%	45.5%
SSY-	Above	62.5%	55.6%	70.0%	70.0%
HYS	Below	37.5%	44.4%	30.0%	30.0%
MDCA	Above	71.9%	63.9%	54.5%	42.9%
MRSA	Below	28.1%	36.1%	45.5%	57.1%
CDI	Above	61.0%	68.2%	63.6%	50.0%
	Below	39.0%	31.8%	36.4%	50.0%
Avorage*	Above	61.1%	61.9%	56.4%	48.0%
Average*	Below	38.9%	38.1%	43.6%	52.0%

<sup>\*</sup>Average calculated as the number of Maryland hospitals with an SIR above (below) its peer group median divided by the number of Maryland hospitals with an SIR across the six HAI measures.



## CDC 2019 National and State HAI Progress Report

CDC data indicate majority (64-94 percent) of Maryland hospitals have
 SIRs that are not statistically different than the national rate

	No. of In	fections		95% CI	for SIR		Facility-sp	ecific SIRs		<u>Faci</u>	lity-specifi	c SIRs at K	ey Percen	tiles
Measure	Observed	Predicted	SIR	Lower	Upper	No. of facilities with at least 1 predicted	% of facilities with SIR sig higher than national SIR	% of facilities with SIR sig lower than national SIR	% of facilities with SIR similar to natiional SIR	10%	25%	Median (50%)	<b>7</b> 5%	90%
CLABSI	328	449.26	0.730	0.654	0.812	42	10%	7%	83%	0.000	0.173	0.548	0.860	1.267
CAUTI	348	443.58	0.785	0.705	0.870	41	7%	2%	90%	0.017	0.294	0.631	0.908	1.176
SSI-Hyst*	44	37.20	1.183	0.870	1.573	8								
SSI-Colon	137	160.74	0.852	0.718	1.004	32	3%	6%	91%	0.000	0.000	0.676	1.244	1.746
MRSA	143	186.91	0.765	0.647	0.898	35	6%	0%	94%	0.000	0.309	0.574	0.863	1.252
CDI	1,107	1,778.81	0.622	0.586	0.660	47	21%	15%	64%	0.130	0.304	0.546	0.797	0.903

\*Not enough hospitals reporting for comparison to nation or percentile analysis

 CDC data also indicates that there was not a statistically significant change on any NHSN measure between 2018 and 2019 for Maryland

## MPR Literature Review Findings (see handout with references)

- CAUTI and CLABSI surveillance validation studies are the most abundant in NHSN surveillance literature.
  - Both systematic reviews and primary analyses found that both measures are generally underreported

Table 3: Reasons for CAUTI and CLABSI Misclassification

Author, year and Description	Reasons for infection misclassification or inconsistent reporting		
Bagchi, 2018 and Bagchi, 2019	misapplication of NHSN CAUTI/CLABSI definition     missed case findings		
Retrospective cohort studies on CLABSI and CAUTI misclassification in state health departments Larsen, 2019	<ul> <li>misapplication of general NHSN HAI definition</li> <li>application of clinical judgment over surveillance definition, including subjective clinician reporting</li> <li>inadequate physician education</li> </ul>		
Review of cohort studies with publicly reported CLABSI rates	insufficient hospital resources		

- Several studies indicate that surveillance definitions and clinical practice definitions differ, suggesting that further clinician education and auditing interventions need to be consistently applied for fair comparisons.
- HAI measures are susceptible to surveillance bias, which should be considered when assessing quality across facilities.

#### Summary of MD vs National performance

- **Descriptive Statistics:** Performance varies by NHSN measure and statistic, but for 5 out of 6 NHSN measures the median hospital in MD performs better or similar to national median hospital
- Trend Analysis Over Time: Most measures have shown improvement over time, except SSI measures
- **Peer Hospitals:** Story does not change substantially when looking at peers
  - MD Above (worse) than peers 50-60% of the time, CY 2016-18;
  - MD Below (better) than peers just over 50% of the time, CY 2019
- CDC Progress report: Similar results but further indicates that majority of MD hospitals do not perform statistically significantly worse than nation
- **Literature Review:** Studies indicate HAI rates vary across facilities in part because of differences in the application of NHSN criteria, clinical definitions, and surveillance bias, but that auditing and clinical education can reduce over- and under-reporting of HAIs.

## Expanding the Safety Domain to Other "Safety" Measures?

- Other NHSN Measures, not included in VBP
  - Additional SSI Categories on MHCC Quality Report Website:
    - CABG
    - Hip Replacement
    - Knee Replacement
  - Other NHSN HAI SSI procedure categories (39 procedure categories)
  - Ventilator Associated Events
- Other Safety measures
  - Sepsis Bundles (currently in IQR); CMS required measure
  - Severe Maternal Morbidity (SMM); CDC defined measures
  - Hospital-onset Bacteremia (HOB); CDC developing pilot for measure
  - Antibiotic Stewardship; CDC structural survey measure
  - Other claims based measures?

## CDC NHSN SSI Procedure Categories\*

Abdominal aortic aneurysm repair

Limb amputation

Appendix surgery

AV shunt for dialysis

Bile duct, liver or pancreatic surgery

**Breast surgery** 

Cardiac surgery

Coronary bypass with chest & donor incisions

Coronary bypass graft with chest incision

Carotid endarterectomy

Cholecystectomy and cholecystotomy

**Colon surgery+** 

Craniotomy

Cesarean section

Spinal fusion

Open reduction of fracture

**Gastric surgery** 

Herniorrhaphy

Hip prosthesis

Heart transplant

**Abdominal hysterectomy+** 

Knee prosthesis

Kidney transplant

Laminectomy

Liver transplant

**Neck surgery** 

Kidney surgery

Ovarian surgery

Pacemaker surgery

**Prostate surgery** 

Peripheral vascular bypass surgery

Rectal surgery

Small bowel surgery

Spleen surgery

Thoracic surgery

Thyroid and/or parathyroid surgery

Vaginal hysterectomy

Ventricular shunt

**Exploratory laparotomy** 

https://www.cdc.gov/nhsn/psc/ssi/index.html?CDC\_AA\_refVal=https%3A%2F%2Fwww.cdc.gov%2Fnhsn%2Facute-care-hospital%2Fssi%2Findex.html; last accessed 4/12/21.

**+BOLDED** indiates part of VBP and QBR programs

<sup>\*</sup>Procedure code lists and protocols found at:

#### Sepsis Bundle

 Sepsis Bundle (SEP\_1) came online in CY 2017; additional process measures added CY 2019

• SEP\_1 - Percentage of patients who received appropriate care for severe sepsis and septic shock composite measure: Applies to patients 18 years and older with a diagnosis of severe sepsis and

septic shock

SEP\_SH\_3HR - Septic Shock 3-Hour Bundle

SEP\_SH\_6HR - Septic Shock 6-Hour Bundle

SEV\_SEP\_3HR - Severe Sepsis 3-Hour Bundle

SEV\_SEP\_6HR - Severe Sepsis 6-Hour Bundle

Measures	Population	2017	2018	2019
[SEP_1]	Maryland	55	57	59
[367_1]	National	50	57	60
Septic Shock 3-Hour Bundle	Maryland			86
[SEP_SH_3HR]	National			86
Septic Shock 6-Hour Bundle	Maryland			73
[SEP_SH_6HR]	National			69
Severe Sepsis 3-Hour Bundle	Maryland			79
[SEV_SEP_3HR]	National			80
Severe Sepsis 6-Hour Bundle	Maryland			88
[SEV_SEP_6HR]	National			89

 NOTE: Experienced increase in PPCs 9 and 35 (Shock; Septicemia and Severe Infection) during CY 2020 for non-COVID patients

## **CDC Severe Maternal Morbidity Indicators\***

- Uses administrative hospital discharge data and International Classification of Diseases (ICD) diagnosis and procedure codes.
- In October 2015, with the transition to ICD 10, the CDC updated list of 21 indicators and corresponding ICD codes used to identify delivery hospitalizations with SMM

Severe Maternal Morbidity Indicator					
Acute myocardial infarction	8. Disseminated intravascular coagulation	15. Shock			
2. Aneurysm	9. Eclampsia	16. Sickle cell disease with crisis			
3. Acute renal failure	10. Heart failure/arrest during procedure	17. Air and thrombotic embolism			
4. Adult respiratory distress syndrome	11. Puerperal cerebrovascular disorders	18. Blood products transfusion			
5. Amniotic fluid embolism	12. Pulmonary edema / Acute heart failure	19. Hysterectomy			
6. Cardiac arrest/ventricular fibrillation	13. Severe anesthesia complications	20. Temporary tracheostomy*			
7. Conversion of cardiac rhythm	14. Sepsis	21.Ventilation			

<sup>\*</sup>For more information:

#### Hospital Onset Bacteremia Pilot \*

- A web-based, multiple-choice survey was administered via the SHEA Research Network to 133 hospitals.
- Results: A total of 89 surveys were completed (67% response rate).
  - 60% of respondents defined HOB as a positive blood culture on or after hospital day 3.
  - Central line-associated bloodstream infections and intra-abdominal infections were perceived as the most frequent etiologies.
  - 61% of participants thought that most HOB events are preventable,
  - 54% viewed HOB as a measure reflecting a hospital's quality of care.
  - 29% of respondents' hospitals already collect HOB data for internal purposes.
  - Given a choice to publicly report central-line—associated bloodstream infections (CLABSIs) and/or HOB, 57% favored reporting either HOB alone (22%) or in addition to CLABSI (35%) and 34% favored CLABSI alone.
- Conclusions: Among the majority of SHEA Research Network respondents, HOB is perceived as preventable, reflective of quality of care, and potentially acceptable as a publicly reported quality metric.
- Further studies on HOB are needed, including validation as a quality measure, assessment of risk adjustment, and formation of evidence-based bundles and toolkits to facilitate measurement and improvement of HOB rates.

# CDC Antibiotic Stewardship Program Core Elements\*

#### Core Elements of Hospital Antibiotic Stewardship Programs



#### **Hospital Leadership Commitment**

Dedicate necessary human, financial, and information technology resources.



#### **Accountability**

Appoint a leader or co-leaders, such as a physician and pharmacist, responsible for program management and outcomes.



#### Pharmacy Expertise (previously "Drug Expertise"):

Appoint a pharmacist, ideally as the co-leader of the stewardship program, to help lead implementation efforts to improve antibiotic use.



#### Action

Implement interventions, such as prospective audit and feedback or preauthorization, to improve antibiotic use.



#### **Tracking**

Monitor antibiotic prescribing, impact of interventions, and other important outcomes, like *C. difficile* infections and resistance patterns.



#### Reporting

Regularly report information on antibiotic use and resistance to prescribers, pharmacists, nurses, and hospital leadership.



#### **Education**

Educate prescribers, pharmacists, nurses, and patients about adverse reactions from antibiotics, antibiotic resistance, and optimal prescribing.



#### Safety Domain: Next Steps

- Should QBR subgroup further explore any additional safety measures?
  - Which ones?
- Should safety domain remain weighted at 35 percent?
  - While slightly higher weight in QBR than VBP, the NHSN and PSI measures also included in HACRP program
  - CMMI commented on NHSN performance in latest exemption approval
  - Concerns remain on cross-hospital comparisons of NHSN performance

## Meeting Topic 2: Emergency Department Throughput

## Emergency Department Utilization: A Snapshot

#### Maryland:

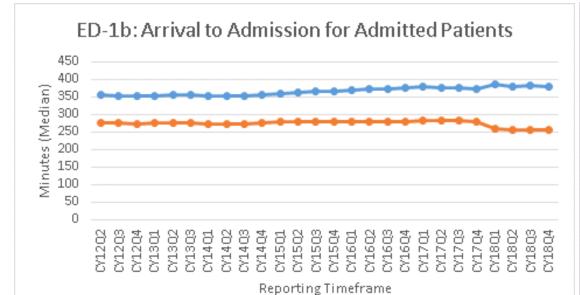
- ~2.38M Annual ED Visits (Avg CY16-19)
  - NOTE: CY 2020 experienced sustained volume decline to 1.78M visits
- 39.45 visits per 100 Marylanders per year
- 17.9% arrive by ambulance (CY19)
- ~85.5% of patients are discharged without being admitted
  - NOTE: 2020 this figure dropped to 83.3%

#### National:

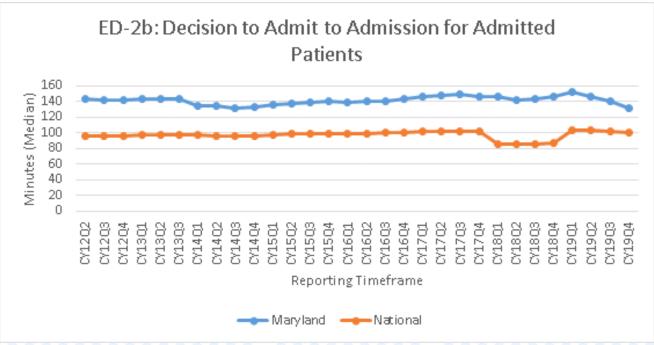
- 130M Annual ED Visits
- 42 visits per 100 Americans per year
- ~15% of patients arrive by ambulance
- Common Complaints are:
  - Stomach/abdominal pain
  - Chest Pain
  - Fever/Headache
- ~80% of patients are discharged without being admitted

## Emergency Department - ED Throughput a Consistent Concern

This measure remained in the QBR program until its sunset from IQR, following CY 2018.

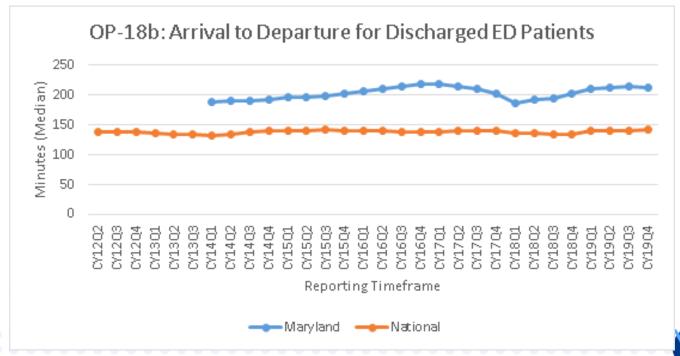


This measure remained in the QBR program until its sunset from IQR, following CY 2019.

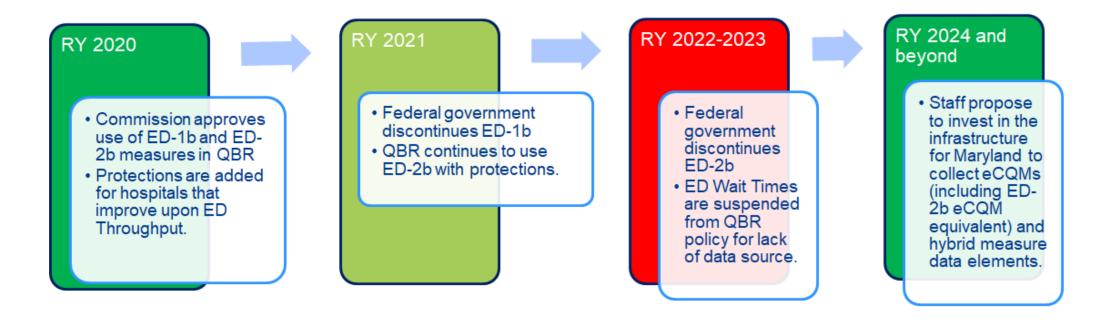


## OP-18b: Arrival to Departure for Patients Discharged to Home

- OP-18b remains an OQR-included measure
- Due to concerns that OP ED Visits may include patients whose ED Visit was avoidable, the Commission decided to keep OP-18b as a monitored measure and not include it in the QBR program.



#### Timeline of ED Wait Times in QBR



Given ED Wait Times' positive correlation with HCAHPS, Commissioners and Staff are interested in resuming inclusion of an ED Wait time measure for IP admissions

#### electronic Clinical Quality Measures (eCQMs) and Hybrid Measures

#### Federally Specified eCQMs

- Anticoagulation Therapy for Atrial Fibrillation/Flutter
- Antithrombotic Therapy By End of Hospital Day 2
- 3. Discharged on Antithrombotic Therapy
- 4. Discharged on Statin Medication
- 5. Exclusive Breast Milk Feeding
- 6. Intensive Care Unit Venous Thromboembolism Prophylaxis
- 7. Median Admit Decision Time to ED Departure Time for Admitted Patients
- 8. Venous Thromboembolism Prophylaxis
- 9. NEW in CY 2021! Safe Opioid Use

#### Hybrid Hospital Wide Readmission Measure:

- Voluntary reporting since 2018
- Relies on 13 core clinical data elements (CCDE) and six linking variables to help CMS match the EHR data to the CMS claims data.
- NOTE: ~70% of Maryland hospitals report currently having the capability to collect these core clinical data elements for the hybrid HWR measure

Interested in stakeholder input on including this measure in QBR pending development of CRISP infrastructure to capture QRDA I files from hospitals.



#### eCQM as suitable source of ED Throughput data

#### Advantages of eCQM ED-2b measure:

- Nationally specified measure
- Aligns with CMS requirements for submission of eCQMs
- Infrastructure investment will allow for potential use of eCQMs and hybrid data from EHR for other purposes

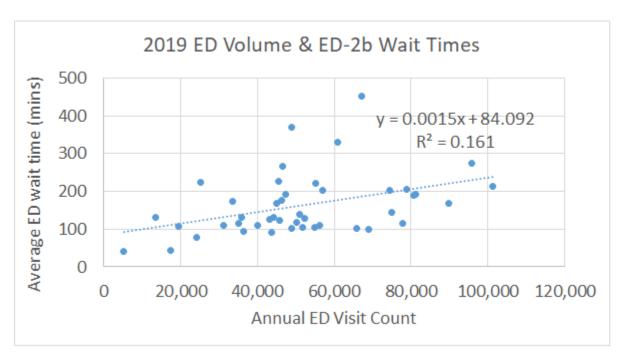
#### **Alternative Data Source:**

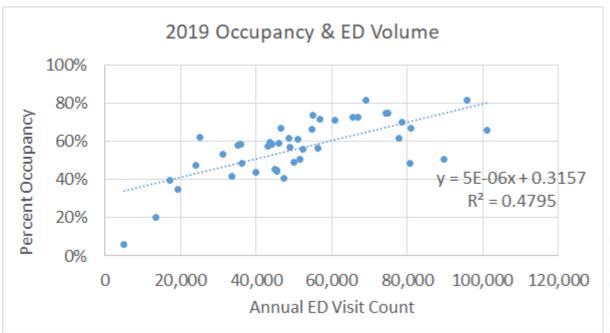
- ADT Feeds (Admit, Discharge, Transfer) from CRISP
  - Would need to discern interoperability of ADT feeds with federally specified measure, timestamp generation, etc.
    - "Decision to Admit" is not a specified field within ADT; at best we would approximate ED-1
  - Currently CRISP is working with hospitals through the RAC to increase utilization of ADT feeds for other use cases such as flagging acute exacerbation of chronic conditions for the SIHIS follow-up measure

### Risk Adjustment Concerns

- Previously Commissioners and stakeholders have raised concerns on risk-adjustment of ED wait time measures
  - To address this, approved policy compared hospitals within peer groups stratified by ED volume; provided protections from improvement that worsens overall QBR score.
  - Commissioners and other stakeholders have also raised high occupancy rates as a driver of longer ED wait times
  - To address the volume and occupancy concerns, staff conducted correlation and regression analyses
    - Volume measured as number of annual ED visits grouped into low, medium, high, very high
    - Occupancy measured as 2019 beds days including observation >24 hours divided by 2018 reported physical capacity

### Volume and Occupancy Results





### **Preliminary Regression Results:**

- Both ED visit volume and occupancy statistically significantly associated with ED-2b in univariate regression analysis (p-values <0.05)</li>
- Controlling for ED volume, occupancy is no longer statistically significant
- May want to consider continuous volume adjustment in future



### Emergency Department - Patient Experience - ED CAHPS

- New Patient Experience tool from CAHPS ED CAHPS
  - Created 2012-2020; received CAHPS designation Mar 2020
- ED CAHPS interviews patients discharged from ED to Home (~80% of ED patients)
  - These patients would be captured under the OP-18b "ED Throughput Metric"
- Voluntary utilization at this time; no plans for centralized federal data collection
- There are 35 Questions under six composite measures:
  - Getting Timely Care; How well nurses and doctors communicate; Communication about medications; Communication about follow-up care; Overall ED Rating; Willingness to recommend the ED
- ...captured under the following facets of an ED Visit and Survey Responder:
  - Going to ED; During Visit; People who cared for you; Leaving ED; Overall Experience; Your Health Care; About you

### COVID and ED Volume Reduction

While IP Volumes have predominantly recovered following Apr-Jun 2020 declines... (~10% current decline)





### ...We see a persistent decline in year-over-year Emergency Department volume (~25%

current decline)

Hospital Volume is taken from the HSCRC Case Mix data when available. Real-time ADT data are used to show Hospital Volume for the most recent weeks.



### Other HSCRC initiatives and ED Throughput Factors

- Avoidable ED Utilization
  - Convening Summer Subgroup
    - Goal: development and implementation of an Avoidable ED methodology
- PRPA Initiatives Regarding ED Utilization
  - Regional Partnerships Scale Targets also looking at (sub-strata) of ED-2b
  - Initiative to reduce avoidable ED use through EMS
  - Additional exploratory analysis
- EDAC development for RRIP ongoing
- American Rescue Plan 2021
  - "State Option for Community-based Mobile Crisis Interventions" focused on Medicaid beneficiaries experiencing a mental health or substance use disorder crisis
  - Source: State Health and Value Strategies at Princeton University, <u>Timetable-of-Key-Healthcare-Provisions-in-American-Rescue-Plan Final 03.26.2021.pdf (shvs.org)</u>

### Re-Adopting ED Wait Times - Next Steps

- Strong commission support for re-adoption of ED wait times
  - Stakeholder perspective?
- Development of eCQM infrastructure will take time
  - Potential implementation in CY 2022 or CY 2023
  - No baseline data attainment-only?
  - No National data how to set performance standards?
- HSCRC to continue to work to acquire eCQM ED-2b data reporting capabilities; estimated timeline of CY 2022 at the earliest
- Continue to examine ED Throughput and potential impact of:
  - COVID-19
  - Urgent care utilization
  - Telehealth utilization
- Interested parties to attend or listen to "Avoidable ED" subgroup this summer

## Follow-up from Prior Meeting

### **HCAHPS** Recap

- HCAHPS performance on individual categories has been improving but still lags behind the nation
  - This is despite Maryland having higher domain weight on Patient and Community Experience domain and all-payer revenue adjustments

FY 2013=30% (Year Adopted; CMS weighted HCAHPS at 30% and process measures 70%)

FY 2014=50% (CMS weighted HCAHPS at 30%, Outcomes at 25% and process measures at 45%)

FY 2015=50%

FY 2016=40%

FY 2017=45%

FY 2018 through 2023=50%

Changes in domain weight were accompanied by other methodological changes (e.g., switch to national performance standards, removal of revenue neutral rewards)



# Potential Changes to the QBR Program to Spur HCAHPS Improvement

- Addition of linear scores
- Upfront rewards for anticipated improvements
- Other ideas?

 Presentation on regional bias concerns--Rockburn Institute, Dr. Dale Schumacher

### Options for Adding of Linear Scores

Reweight Person and Community Engagement Domain				
Measure	Current Weight	Proposed Weight		
Top Box (8 measures + consistency points)	45.45 Percent	35 Percent		
Follow-up measure	4.55 Percent	5 Percent		
Linear		10 Percent		
Total	50 Percent	50 Percent		

 Should linear portion of domain weight be focused on specific measures? If so how to pick:

- Measures where Maryland wants to be leader?
- Measures with biggest gaps from national average?
- Measures with known interventions?
- Measures with correlations to other important outcomes
- Measures aligned with other ratings like Leapfrog?
- How many measures?
- Other considerations?

Looking for QBR
Subgroup member
input on whether to
focus and on which
measures



### Discussion on Upfront Rewards

- HSCRC staff exploring idea of upfront financial incentives contingent on improvements in HCAHPS scores
  - Concept is to provide resources for investments in activities to improve HCAHPS and take back these financial rewards if improvements are not achieved (i.e., claw back)
    - Theory is loss aversion is salient negative consequence and thus the incentive for improvement will be greater without raising percent at-risk

### Considerations:

- Link to improvement in linear, top box, both?
- Require financial incentives to be used for HCAHPS interventions?
- How to calculate potential improvement and associated reward? Size of reward?
- Calculation of QBR revenue adjustment taking into account upfront reward?
- Mechanism for pay back if HCAHPS do not improve?

### **HCAHPS Next Steps**

- Model inclusion of linear scores (all and focused--stakeholder defined)
- Model improvement opportunity and potential financial gain that could be used as up front money and develop proposal for pilot program
- Explore with MHCC development of infrastructure to collect HCAHPS data directly from hospitals, including patient level data

## Regional Bias in HCAHPS





# HCAHPS and Value-Based Purchasing Comparing National and Mid-Atlantic Summary Results

QBR Redesign, April 21, 2021

Dale N. Schumacher, MD, MPH
Jean James
Fern Nerhood
Mike Tennor



### Value-Based Purchasing (VBP) – Unweighted Average Domain Scores and Total Performance Score (TPS), for Hospitals in Four States (New York, Pennsylvania, New Jersey and Connecticut) vs All VBP Participating Hospitals

Fiscal Year (FY) & Hospital Geographic Group	PPS Hospitals	Person and Community Engagement (PCE)*	Efficiency & Cost Reduction, Medicare Spending Per Beneficiary (MSPB)* **	Safety*	Clinical Outcomes*	Total Performance Score (TPS)
FY 2019						
ALL	2775	34.8	20.0	43.7	54.3	38.1
NY, PA, NJ, CT	356	<mark>28.3</mark>	14.8	42.2	57.9	35.7
<u>FY 2020</u>						
ALL	2721	32.0	19.8	44.2	58.5	38.5
ALL minus 4 states	2375	32.9	20.6	44.5	57.9	38.9
NY, PA, NJ, CT	346	<mark>25.5</mark>	14.2	41.9	62.3	35.9
<u>FY 2021</u>						
ALL	2669	31.9	20.5	39.8	43.5	33.9
NY, PA, NJ, CT	343	<mark>25.6</mark>	15.2	36.9	45.6	30.7

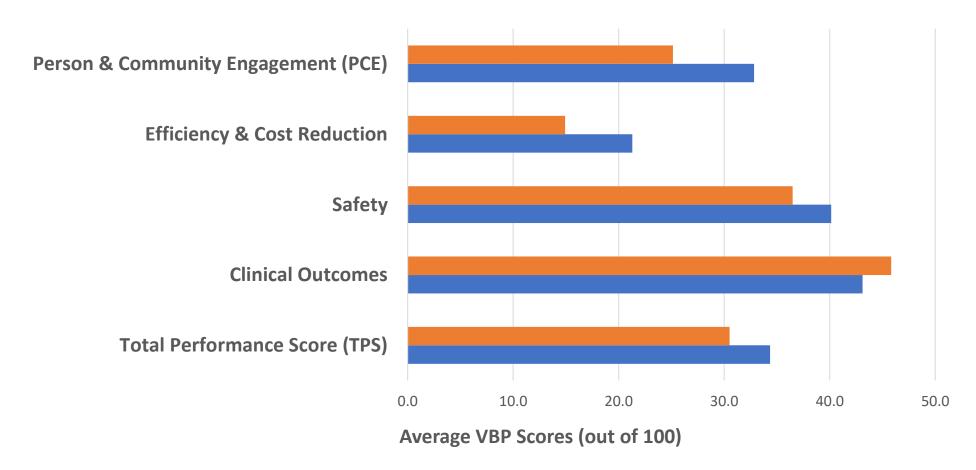
<sup>\*</sup> Average unweighted normalized scores; out of 100

W12719e

<sup>\*\*</sup> Efficiency MSPB episode begins 3 days prior to admission and ends 30 days post discharge <a href="https://qualitynet.cms.gov/files/5ee388cbb5d5c400239ab548?filename=MSPB\_FAQs\_May\_2020.pdf">https://qualitynet.cms.gov/files/5ee388cbb5d5c400239ab548?filename=MSPB\_FAQs\_May\_2020.pdf</a>

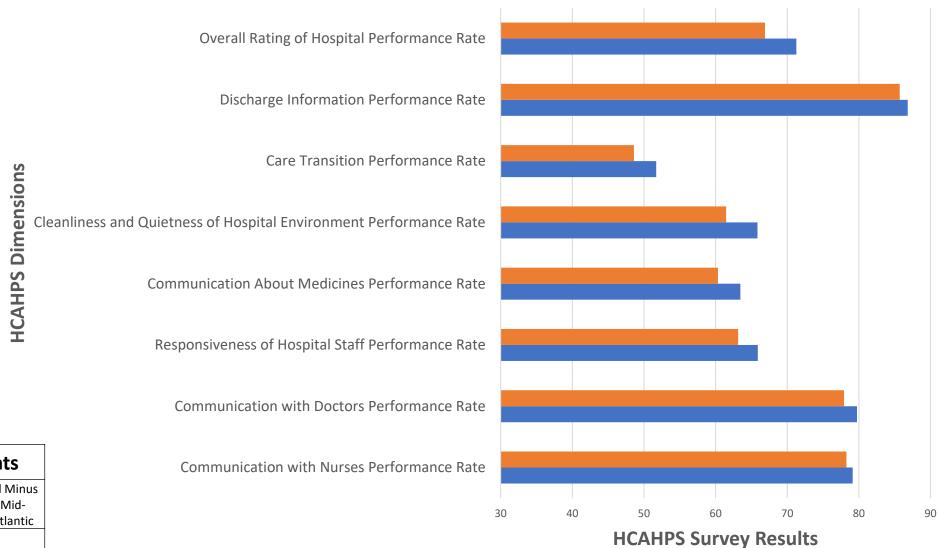


# VBP Average Domain Scores and Total Performance Score for 5 Mid-Atlantic Jurisdictions (DC, DE, NJ, NY, PA) vs All Other Hospitals, FY 2021





## HCAHPS Average Dimension Results for 5 Mid-Atlantic Jurisdictions (DC, DE, NJ, NY, PA) vs All Other Hospitals, FY 2021





**VBP and HCAHPS Data FY 2021. Comparison Selected Correlations** 

	А	В	С	D	E	F	G
	Mid-Atlantic Excludes Maryland						Overall
	n=329	Efficiency	Nurse		Discharge	Care	Hospital
1	DC,DE,NY,NJ, PA	(MSPB)	Comm	Doc Comm	Info	Transition	score
2	Efficiency	1.00					
3	Nurse Communication	0.18	1.00				
4	Doc Communication	0.20	0.58	1.00			
5	Discharge Info	0.25	0.43	0.28	1.00		
6	Care Transition	0.14	0.55	0.45	0.49	1.00	
7	Overall Hosp Score	0.19	0.64	0.47	0.46	0.61	1.00
8	All Hospitals minus Mid-Atlantic excludes Maryland n=2340	Efficiency (MSPB)	Nurse Comm	Doc Comm	Discharge Info	Care Transition	Overall Hospital score
9	Efficiency	1.00					
10	Nurse Communication	0.23	1.00				
11	Doc Communication	0.26	0.66	1.00			
12	Discharge Info	0.23	0.48	0.36	1.00		
13	Care Transition	0.17	0.58	0.51	0.48	1.00	
14	Overall Hosp Score	0.15	0.60	0.48	0.40	0.71	1.00

Gold – Mid-Atlantic exceeds All minus Mid-Atlantic Green – Mid-Atlantic improvement opportunities comparison



### **Definitions**

Hospital Value Based Purchasing (VBP or HVBP) – A Medicare quality measure for hospitals consisting of four equally weighted domains. Paid for by a 2% withholding of hospitals' Medicare Base Operating Payment. The VBP total performance score dictates if a hospital will receive back some, all, or more than the 2% withholding.

**HCAHPS** – Hospital Consumer Assessment of Healthcare Providers and Systems

**HCAHPS Survey** – A national survey of patient perspectives of care received during a recent hospital stay; publicly reported and consistent survey allows for national comparisons; 29 questions

**HCAHPS Dimensions** – These are the eight HCAHPS measures included in Hospital VBP.

Six areas of the survey are summarized into composite measures such as "Communication with Nurses." There is also a combined cleanliness and quietness score and an overall rating of the hospital. The scores are also compared with national scores and a baseline score for the hospital from two years prior. A score is also added for consistency.

"Eight HCAHPS measures, or 'dimensions,' are included in Hospital VBP: six HCAHPS composite measures (Communication with Nurses, Communication with Doctors, Staff Responsiveness, Communication about Medicines, Discharge Information, and Care Transition); a dimension that combines the Cleanliness and Quietness items; and one global item (Hospital Rating). The PCE domain score is based on the percentage of a hospital's patients who chose the most positive, or top-box, survey response."

HCAHPS Fact Sheet, October 2019, accessed at <a href="https://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/HospitalQualityInits/HospitalHCAHPS">https://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/HospitalQualityInits/HospitalHCAHPS</a>



### **Definitions (continued)**

**HCAHPS Points** – As used in VBP, the eight HCAHPS dimensions are reported as whole number scores out of ten possible points. E.g., "4 out of 10." The scores are summed to create an HCAHPS Base Score. This is added to a Consistency Score to arrive at the final Person and Community Engagement score used in VBP.

**Person and Community Engagement (PCE)** – One of the four domains in VBP. Based solely on HCAHPS as described in HCAHPS Points.

**Medicare Spending Per Beneficiary (MSPB)** – A measure of a hospital's Medicare claims compared to expected claims. This is then compared to national efficiency rates. A ratio score over 1.00 shows inefficiency.

**Efficiency and Cost Reduction** – One of the four domains in VBP. Based solely on MSPB. The hospital's current score is compared to their score two years prior and national results.

**Safety** – One of the four domains in VBP.

Clinical Outcomes – One of the four domains in VBP.

### Thank you and Next Meeting

- Thank you for your participation in the inaugural Subgroup Meeting.
- Next month's meeting will be held on May 19, 2021
  - The main Meeting Topics will be:
    - 1. SIHIS Measure Alignment (present and future)
    - 2. Refinement of Existing QBR Measures (especially Mortality and THA-TKA)
  - We will also incorporate feedback from today's meeting, as appropriate
- We appreciate your comments! Please continue to submit feedback through <a href="mailto:hscrc.quality@maryland.gov">hscrc.quality@maryland.gov</a>

### **APPENDIX**



## CDC NHSN Ventilator Associated Event Measures\*

<u>Measure</u>	<u>Calculation</u>	<u>Application</u>
VAE SIR	The number of Observed VAEs The number of Predicted VAEs	Both location specific and summarized measure
VAE Rates	The number of VAEs for a location x 1000 The number of Ventilator Days for a location	Location specific measure only
Ventilator SUR	The number of Observed Ventilator Days The number of Predicted Ventilator Days	Both location specific and summarized measure
DUR	The Ventilator Days for a location The Patient Days for that location	Location specific measure only

Information found at: <a href="https://www.cdc.gov/nhsn/pdfs/pscmanual/10-vae\_final.pdf">https://www.cdc.gov/nhsn/pdfs/pscmanual/10-vae\_final.pdf</a> ; last accessed 4/12/21.

cost r

### Ventilator Associated Events Algorithm

Patient has a baseline period of stability or improvement on the ventilator, defined by  $\geq 2$  calendar days of stable or decreasing daily minimum\* FiO<sub>2</sub> or PEEP values. The baseline period is defined as the 2 calendar days immediately preceding the first day of increased daily minimum PEEP or FiO<sub>2</sub>.

\*Daily minimum defined by lowest value of FiO<sub>2</sub> or PEEP during a calendar day that is maintained for > 1 hour.

After a period of stability or improvement on the ventilator, the patient has at least one of the following indicators of worsening oxygenation:

- 1) Increase in daily minimum\* FiO<sub>2</sub> of  $\geq$  0.20 (20 points) over the daily minimum FiO<sub>2</sub> of the first day in the baseline period, sustained for  $\geq$  2 calendar days.
- 2) Increase in daily minimum\* PEEP values of  $\geq$  3 cmH<sub>2</sub>O over the daily minimum PEEP of the first day in the baseline period<sup>†</sup>, sustained for  $\geq$  2 calendar days.
- \*Daily minimum defined by lowest value of FiO₂ or PEEP during a calendar day that is maintained for > 1 hour.
- <sup>†</sup>Daily minimum PEEP values of 0-5 cmH<sub>2</sub>O are considered equivalent for the purposes of VAE surveillance.

#### Ventilator-Associated Condition (VAC)

On or after calendar day 3 of mechanical ventilation and within 2 calendar days before or after the onset of worsening oxygenation, the patient meets both of the following criteria:

1) Temperature > 38 °C or < 36 °C, **OR** white blood cell count  $\geq$  12,000 cells/mm<sup>3</sup> or  $\leq$  4,000 cells/mm<sup>3</sup>.

#### AND

2) A new antimicrobial agent(s) (see Appendix for eligible antimicrobial agents) is started and is continued for ≥ 4 qualifying antimicrobial days (QAD).

### Ventilator Associated Events Algorithm

#### Infection-related Ventilator-Associated Complication (IVAC)

On or after calendar day 3 of mechanical ventilation and within 2 calendar days before or after the onset of worsening oxygenation, ONE of the following criteria is met (taking into account organism exclusions specified in the protocol):

- 1) Criterion 1: Positive culture of one of the following specimens, meeting quantitative or semi-quantitative thresholds as outlined in protocol, without requirement for purulent respiratory secretions:
  - Endotracheal aspirate, ≥ 10<sup>5</sup> CFU/ml or corresponding semi-quantitative result
  - Bronchoalveolar lavage, ≥ 10<sup>4</sup> CFU/ml or corresponding semi-quantitative result
  - Lung tissue, ≥ 10<sup>4</sup> CFU/g or corresponding semi-quantitative result
  - Protected specimen brush, ≥ 10<sup>3</sup> CFU/ml or corresponding semi-quantitative result
- 2) Criterion 2: Purulent respiratory secretions (defined as secretions from the lungs, bronchi, or trachea that contain ≥ 25 neutrophils and ≤ 10 squamous epithelial cells per low power field [lpf, x100]) PLUS organism identified from one of the following specimens (to include qualitative culture, or quantitative/semi-quantitative culture without sufficient growth to meet Criterion #1):
  - Sputum
  - Endotracheal aspirate
  - Bronchoalveolar lavage
  - Lung tissue
  - Protected specimen brush
- 3) Criterion 3: One of the following positive tests:
  - Organism identified from pleural fluid (where specimen was obtained during thoracentesis or initial placement of chest tube and NOT from an indwelling chest tube)
  - Lung histopathology, defined as: 1) abscess formation or foci of consolidation with intense neutrophil accumulation in bronchioles and alveoli; 2) evidence of lung parenchyma invasion by fungi (hyphae, pseudohyphae, or yeast forms); 3) evidence of infection with the viral pathogens listed below based on results of immunohistochemical assays, cytology, or microscopy performed on lung tissue
  - Diagnostic test for Legionella species
  - Diagnostic test on respiratory secretions for influenza virus, respiratory syncytial virus, adenovirus, parainfluenza virus, rhinovirus, human metapneumovirus, coronavirus

f the laboratory reports semi-quantitative results, those results must correspond to the quantitative thresholds. Refer to Table 2 and 3.